



19 Rec'd PCT/PTO 05 JUN 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

KOON

Atty. Ref.: 723-1075

Serial No. 09/831,018

Group: Not Yet Assigned

Filed: May 30, 2001

Examiner: Not Yet Assigned

For: SERIAL NUMBER MASK AND CHECK DIGIT FOR  
ELECTRONIC REGISTRATION SYSTEM (ERS)

\* \* \* \* \*

June 5, 2001

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**RESPONSE TO NOTIFICATION OF MISSING  
REQUIREMENTS UNDER 35 U.S.C. § 371**

Responsive to the Notification of Missing Requirements mailed May 23, 2001 (a copy of which is attached), it is respectfully submitted that the executed Declaration was filed on May 30, 2001. A copy of the PTO mail room date-stamped postcard indicating receipt of the executed Declaration is attached hereto. The surcharge was paid on May 4, 2001.

Confirmation of receipt of the executed Declaration is respectfully requested.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

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Serial No.: 09/831,018

Inventor/s: KOON

Atty: Alan M. Kagen

Date: May 30, 2001

C#/M#: 723-1075

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Title: SERIAL NUMBER MASK AND CHECK DIGIT FOR  
ELECTRONIC REGISTRATION SYSTEM (ERS)

Amendment

Pages Specification, Claims & Abstract

Claims

Sheets of Drawings

X

Declaration (1 Page)

Assignment ( Pages) Including Cover

Priority Document(s)

Base Issue Fee Transmittal

\$ Fee (Check) - **Pre-Bill**

\$ Fee (Check) - Non Pre-Bill



**\$0.00 = Total Fee Enclosed**

**Other: Transmittal Letter (in dupl.)**



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/831018	KOON	723-1075 <b>AMK</b>

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# DATES DOCKETED

July 23, 2001 **JS**

Dec 23, 2001

**JS**

INTERNATIONAL APPLICATION NO.

PCT/US00/41057

I.A. FILING DATE

04 OCT 99

PRIORITY DATE

14 OCT 99

DATE MAILED:

**23 MAY 2001**

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☒ a Designated Office (37 CFR 1.494) ☐ an Elected Office (37 CFR 1.495):

- |   |   |
|---|---|
| <input type="checkbox"/> U.S. Basic National Fee.   | <input type="checkbox"/> Indication of Small Entity Status.                         |
| <input type="checkbox"/> Copy of the international application.   | <input type="checkbox"/> Translation of the international application into English. |
| <input type="checkbox"/> Oath or Declaration of inventors(s).   | <input type="checkbox"/> Translation of Article 19 amendments into English.         |
| <input type="checkbox"/> Copy of Article 19 amendments.   | <input type="checkbox"/> Other:   |
| <input type="checkbox"/> Priority Document.   |   |
| <input type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any.     |   |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English. |   |

2. ☒ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- |   |   |
|---|---|
| <input type="checkbox"/> U.S. Basic National Fee. | <input type="checkbox"/> Copy of the international application. |
|---|---|

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- |  |
|--|
| <input type="checkbox"/> a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.   |
| <input type="checkbox"/> The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.   |
| <input type="checkbox"/> b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).  |
| <input checked="" type="checkbox"/> c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date. |
| <input type="checkbox"/> The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.   |
| <input checked="" type="checkbox"/> d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).  |

4. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice MUST be returned with this response.**

- |  |  |
|--|--|
| Enclosed: <input type="checkbox"/> PCT/DO/EO/917 | <input type="checkbox"/> Notice of Defective Translation |
| <input type="checkbox"/> PTO-875                 | <input type="checkbox"/> PCT/DO/EO/920                   |

Pat Booker, Paralegal

FORM PCT/DO/EO/905 (March 2001)

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